

# EXHIBIT A

RECEIVED  
CENTRAL FAX CENTER

Aug-21-2006 08:28pm From-Woodcock Washburn LLP

404 459 4217

T-604 P.005/036 F-151

AUG 21 2006

U.S. Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FLILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
90/007,772	10/21/2005	6919092	ALZA-0141	3781
43511	7590	06/20/2006	EXAMINER	
WOODCOCK WASHBURN LLP ONE LIBERTY PLACE 46TH FLOOR PHILADELPHIA, PA 19103			ART UNIT	PAPER NUMBER

DATE MAILED: 06/20/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action in Ex Parte Reexamination**

90/007,772	6918082
Examiner Evelyn Huang	Art Unit 3991

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —

Responsive to the communication(s) filed on 07 April 2006.      b This action is made FINAL.  
 A statement under 37 CFR 1.530 has not been received from the patent owner.

A shortened statutory period for response to this action is set to expire 2 month(s) from the mailing date of this letter.  
Failure to respond within the period for response will result in termination of the proceeding and issuance of an *ex parte* reexamination certificate in accordance with this action. 37 CFR 1.550(d). EXTENSIONS OF TIME ARE GOVERNED BY 37 CFR 1.550(c).  
If the period for response specified above is less than thirty (30) days, a response within the statutory minimum of thirty (30) days will be considered timely.

**Part I THE FOLLOWING ATTACHMENT(S) ARE PART OF THIS ACTION:**

1.  Notice of References Cited by Examiner, PTO-892.
2.  Information Disclosure Statement, PTO-1449.
3.  Interview Summary, PTO-474.
4.  \_\_\_\_\_.

**Part II SUMMARY OF ACTION**

- 1a.  Claims 2-23 are subject to reexamination.
- 1b.  Claims \_\_\_\_\_ are not subject to reexamination.
2.  Claims 1 have been canceled in the present reexamination proceeding.
3.  Claims \_\_\_\_\_ are patentable and/or confirmed.
4.  Claims 2-23 are rejected.
5.  Claims \_\_\_\_\_ are objected to.
6.  The drawings, filed on \_\_\_\_\_ are acceptable.
7.  The proposed drawing correction, filed on \_\_\_\_\_ has been (7a) approved (7b) disapproved.
8.  Acknowledgment is made of the priority claim under 35 U.S.C. § 119(a)-(d) or (f).

a) All    b) Some\*    c) None    of the certified copies have  
1 been received.  
2 not been received.  
3 been filed in Application No. \_\_\_\_\_.  
4 been filed in reexamination Control No. \_\_\_\_\_.  
5 been received by the International Bureau in PCT application No. \_\_\_\_\_.

\* See the attached detailed Office action for a list of the certified copies not received.

9.  Since the proceeding appears to be in condition for issuance of an *ex parte* reexamination certificate except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte* Quayle, 1935 C.D. 11, 453 O.G. 213.

10.  Other: \_\_\_\_\_

:c: Requester (if third party requester)

5. Patent and Trademark Office

PTO-465 (Rev. 04-01)

Office Action in Ex Parte Reexamination

Part of Paper No. 20060809

*Reexamination*

1. This is the final office action in the reexamination proceeding of U.S. Patent No. 6,919,092 issued on 7-19-2005 to Guitard.

*Procedural Posture*

2. The request by the Requester (Patent Owner) for ex parte reexamination was filed on 10-21-2005.

The waiver of the Patent Owner's Statement was filed on 1-10-2006.

The response to the non-final office action mailed on 2/7/2006 was filed on 4/7/2006.

*Status of the Claims*

3. In the amendment filed on 4/7/2006, claim 1 has been cancelled.

Currently, claims 2-23 are pending.

*Improper Amendment*

4. The amendment filed on 4/7/2006 fails to comply with 37 CFR 1.530 as follows: the amendment does not comply with 37 CFR 1.530 (e) because it fails to supply the status (i.e. *pending* or *canceled*), as of the date of the amendment, of *all* patent claims.

Art Unit: 3991

In order to prevent any further delay of the reexamination proceeding and to ensure that it is conducted with special dispatch, the Office has *sua sponte* waived the requirements of 37 CFR 1.530 (e), to the extent that the improper amendment of 4/7/2006 is accepted. This waiver is based solely on the present facts and circumstances, and is not to be taken as guidance as to any future waiver of the rules. Senior Legal Advisor Kenneth M. Schor has signed this action below solely for that purpose.

*Future Amendment*

5. Patent owner is notified that any proposed amendment to the specification and/or claims in this reexamination proceeding must comply with 37 CFR 1.530(d)-(j), must be formally presented pursuant to 37 CFR 1.52(a) and (b), and must contain any fees required by 37 CFR 1.20(c).

Submissions after this final action will be governed by the requirements of 37 CFR 1.116, which will be strictly enforced.

*Ongoing Duty to disclose*

6. The submission of the 41 pages PTO-1449 on 4/7/2006 is acknowledged.

Consideration by the examiner of the information submitted in an IDS means nothing more than considering the documents in the same manner as other documents in Office search

files are considered by the examiner while conducting a search of the prior art in a proper field of search. See MPEP 609, at page 600-125. The initials of the examiner placed adjacent to the citations on the PTO-1449, or PTO/SB/08A and 08B, or its equivalent mean that the information has been considered by the examiner to the extent noted above.

7. The patent owner is reminded of the continuing responsibility under 37 CFR 1.565(a), to apprise the Office of any litigation activity, or other prior or concurrent proceeding, involving Patent No. 6,919,092 throughout the course of this reexamination proceeding. See MPEP §§ 2207, 2282 and 2286.

*Priority*

8. The reexamination patent is a continuation of application No. 09/280,309, filed on 3-29-1999, now US Pat. No. 6,262,115, which is a CIP of application No. 08/806,773, filed on 2-26-1997, now US Pat. No. 5,912,268, which is a CIP of application No. 08/706,576, filed on 9-5-1996, now US Pat. No. 5,840,754, which is a CIP of application No. 08/445,849, filed on 5-22-1995, now US Pat. No. 5,674,895.

9. For claims 3-23, the maximum plasma oxybutynin concentration of 'about 0.28 ng/ml to about 0.45 ng/ml per mg' of oxybutynin or its pharmaceutically acceptable salt was described for the first time in application No. 08/706,576, filed on 9-5-1996, now US Pat. No. 5,840,754 (column 10, lines 55-65). Accordingly, the earliest effective filing date for claims 3-23 would be 9-5-1996.

10. The description for Claim 2 is found in application No. 08/445,849, filed on 5-22-1995, now US Pat. No. 5,674,895. Accordingly, the earliest effective filing date for claim 2 would be 5-22-1995.

*Withdrawn Claim Rejections - 35 USC § 102(b)*

11. The rejection for Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Guittard I (US 5,674,895, issued on 10-7-1997) is rendered moot by the cancellation of claim 1.

12. The rejection for Claim under 35 U.S.C. 102(b) as being anticipated by Guittard II (WO 96/37202, published on 11-28-1996) is rendered moot by the cancellation of claim 1.

13. The rejection for Claim 1 under 35 U.S.C. 102(b) as being anticipated by Rantala (WO 96/12477, published on 5-2-1996) is rendered moot by the cancellation of claim 1.

14. The rejection for Claim 1 under 35 U.S.C. 102(b) as being anticipated by Baichwal (US 5,399,359, issued on 3-21-1995) is rendered moot by the cancellation of claim 1.

***Withdrawn Claim Rejections - 35 USC § 103(a)***

15. The rejection for claim 1 under 35 U.S.C. 103(a) as being unpatentable over Wong (US 5,082,668) and PDR (42<sup>nd</sup> edition, 1988, pages 1222-3, Immediate release Ditropan) and Robinson (Prescriber's Journal, 34(1):27-30, 1994) is rendered moot by the cancellation of claim 1.

16. The rejection for claim 1 under 35 U.S.C. 103(a) as being unpatentable over Morella (US 5,330,766) and Robinson (Prescriber's Journal, 34(1):27-30, 1994) is rendered moot by the cancellation of claim 1.

***Withdrawn Double Patenting Rejection***

17. The rejection for Claim 1 under 35 U.S.C. 101 as claiming the same invention as that of claim 1 of prior U.S. Patent No. 6,262,115 is withdrawn in view of the cancellation of claim 1.

***Outstanding Claim Rejections - 35 USC § 102(a)***

18. *The rejection for Claim 2 under 35 U.S.C. 102(a) as being anticipated by Baichwal (US 5,399,359) is maintained for reasons of record.*

Claim 2 has been amended to incorporate 'for treating incontinence in a patient' into the claim. In view of the amendment, the rejection is restated as follows.

Instant Claim 2 is directed to a pharmaceutical dosage form comprising 240 ng to 650 mg of a member selected from oxybutynin and its pharmaceutically acceptable salt, that releases the member at a controlled and sustained, substantially zero order rate for about 24 hours, for treating incontinence in a patient.

*Baichwal's* once-a-day tablet (column 7, Example 3) comprising 10 mg oxybutynin hydrochloride (2.9% of 348.3 mg tablet weight) with a controlled and sustained, substantially zero order release profile as described in Table 6 (column 8) for the relief of symptoms of bladder instability associated with voiding of the bladder (i.e. management of incontinence in a patient) (column 2, line 67 to column 3, line 1) meets all the requirements of claim 2.

*Outstanding Claim Rejections - 35 USC § 102(e)*

**19. The rejection for Claim 2 under 35 U.S.C. 102(e) as being anticipated by Baichwal (US 5,399,359) is maintained for reasons of record.**

Claim 2 has been amended to incorporate 'for treating incontinence in a patient' into the claim. In view of the amendment, the rejection is restated as follows.

Instant Claim 2 is directed to a pharmaceutical dosage form comprising 240 ng to 650 mg of a member selected from oxybutynin and its pharmaceutically acceptable salt, that releases the member at a controlled and sustained, substantially zero order rate for about 24 hours for treating incontinence in a patient.

*Baichwal's once-a-day tablet (column 7, Example 3) comprising 10 mg oxybutynin hydrochloride (2.9% of 348.3 mg tablet weight) with a controlled and sustained, substantially zero order release profile as described in Table 6 (column 8) for the relief of symptoms of bladder instability associated with voiding of the bladder (i.e. management of incontinence in a patient) (column 2, line 67 to column 3, line 1) meets all the requirements of claim 2.*

#### *Response to Arguments*

20. *Patentee submits that the release of drug according to Example 3 of Baichwal does not produce a linear plot, much less a plot reflecting the claimed zero order release rate. Furthermore, it appears that the vast majority of oxybutynin in Baichwal's examples is released in 12 hours or less. That Example 3 of Baichwal may occasionally produce results that fall within claim 2 would be insufficient to establish anticipation.*

The mere assertion that Baichwal's Example 3 does not produce a linear plot of release of drug vs. time without any showing of evidence is insufficient to overcome the anticipation rejection. The arguments of counsel cannot take the place of evidence in the record. *In re Schulze* 346 F.2d 600, 602, 145 USPQ 716, 718 (CCPA 1965).

Furthermore, even if the plot of Baichwal's Example 3 is not exactly linear, it would still be embraced by the instant claim which only require a 'substantially zero order release rate'.

The statement that the other examples described by Baichwal do not meet the requirements of instant claim is irrelevant, since this anticipation rejection is based on the sustained release formulation of Example 3, which provides controlled release of oxybutynin for

Art Unit: 3991

24 hours with a substantially zero order release kinetics and fully meets the requirement of instant claim 2.

Patentee further submits that Example 3 may only occasionally produce results that falls within claim 2, implicating that the data of Example 3 is not always reproducible. However, a US patent is presumed to be valid in the absence of evidence to the contrary. MPEP 2121.

In conclusion, claim 2 is clearly anticipated by Baichwal's once-a-day tablet (column 7, Example 3) comprising 10 mg oxybutynin hydrochloride with a controlled and sustained, substantially zero order release profile as described in Table 6 (column 8).

*Outstanding Claim Rejections - 35 USC § 102(b)*

21. *The rejection for Claims 3-23 under 35 U.S.C. 102(b) as being anticipated by, or in the alternative obvious, over Baichwal (US 5,399,359, issued on 3-21-1995) in view of Lukkari (Clinical pharmacology of oxybutynin, with special reference to pharmacokinetics and interactions. University of Helsinki, Finland, 1997) and Doctor's Guide with translated package insert (Cystrin CR launched in Finland for urinary incontinence. May 7, 1998) is maintained for reasons of record.*

Claim 3 has been amended to incorporate 'for treating incontinence in a patient' into the claim. In view of the amendment, the rejection is restated as follows.

Instant claim 3 is directed to a dosage form comprising 5 mg to 250 mg of a member selected from oxybutynin and its pharmaceutically acceptable salt, wherein (i) said dosage provides a maximum plasma oxybutynin concentration of about 0.28 ng/ml to about 0.45 ng/ml per mg of said member in said dosage form and (ii) wherein said member is delivered from said dosage form over a period of about 24 hours for treating incontinence in a patient.

*Baichwal's* once-a-day tablet (column 7, Example 3) comprising 10 mg oxybutynin hydrochloride (2.9% of 348.3 mg tablet weight) with a controlled and sustained, substantially zero order release profile as described in Table 6 (column 8) is for the relief of symptoms of bladder instability associated with voiding of the bladder (i.e. management of incontinence in a patient) (column 2, line 67 to column 3, line 1). *Baichwal's* tablet has been marketed as *Cystrin CR* for treatment of incontinence (*Doctor's Guide*). The maximum plasma oxybutynin hydrochloride concentration ( $C_{max}$ ) in patients who have ingested *Cystrin CR* has been determined to be 0.28 ng/ml (under fasting condition, or 1 hour before breakfast) and 0.43 ng/ml per mg oxybutynin hydrochloride (2 hours after breakfast) (*Lukkari*, page 31, Table 2, Study IV and Study V). *Baichwal's* tablet formulation of Example 3 therefore meets all the requirements of instant claim 3.

*Baichwal's* tablet formulation of Example 3 also meet the limitations of instant *claim 4* (which requires the salt in claim 3 be oxybutynin hydrochloride), *claims 5 and 8* (which require the dosage form of claims 4 and 3 respectively delivers at a substantially zero order rate of release) and *claims 9-12* (which require the dosage form of claims 3, 4, 6, 7 respectively to be a tablet).

*Baichwal* further teaches that the dosage form may be coated with a hydrophilic coating, such as hydroxypropylmethylcellulose (column 5, lines 58-62) as required by instant *claims 6 and 7*.

Art Unit: 3991

For instant *claims 13-22*, directed to a method for the management of incontinence in a patient, comprising administering to the patient a dosage form corresponding to the composition claims 3-12, Baichwal's method (column 2, line 67 to column 3, line 1) for the relief of symptoms of bladder instability associated with voiding of the bladder (i.e. management of incontinence in a patient) with the tablet formulation of Example 3 therefore meets all the requirements of these claims. Administering Baichwal's tablet of Example 3 to a patient would necessarily reduce the incidence of side effects associated with oxybutynin treatment as required by instant *claim 23*.

#### *Response to Arguments*

22. *Patentee contends that Baichwal does not disclose administration of the oxybutynin dosage form under fasting conditions, one hour before breakfast or two hours after breakfast as described in Lukkari. Baichwal therefore fails to anticipate as the extrinsic evidence does not 'make clear that the missing descriptive matter is necessarily present in the thing described in the reference'. Furthermore, even if administering Baichwal's dosage form will occasionally result in the claimed maximum plasma concentration, it is insufficient to establish anticipation. MEHL/Biophile Intern. Corp. v. Milgram, 192 F.3d 1362, 1365(Fed. Cir. 1999).*

Patentee cites *MEHL* and argues that Baichwal does not provide the critical teaching of administering oxybutynin under fed or fasted state. Unlike *MEHL* where the prior art does not disclose the limitation of the claims, 'the fed or fasted state' is not a limitation in the instant claims. Accordingly, Baichwal needs not expressly disclose the fed or fasted state under which

the drug is administered to anticipate because the instant claims do not recite these administration conditions.

Contrary to Patentee's assertion that Baichwal's dosage form only occasionally result in the claimed maximum plasma concentration, Baichwal's oxybutynin dosage form administered in the fasted state invariably results in the maximum plasma concentration of about 0.28 ng/ml to about 0.45 ng/ml per mg of oxybutynin as required by the instant claim. The dosage form administered in the fasted state meeting all the requirements of the instant claim is equivalent to a species falling within the claimed genus, thereby anticipating the genus of instant claim 2.

MPEP 2131.02.

*Outstanding Claim Rejections - 35 USC § 102(a)*

**23. The rejection for Claims 3-5, 8-10, 13-14, 16, 18-20, 23 under 35 U.S.C. 102(a) as being anticipated by Rantala (WO 96/12477, published on 5-2-1996) is maintained for reasons of record.**

Claim 3 has been amended to incorporate 'for treating incontinence in a patient' into the claim. The amendment does not overcome the rejection because the added intended use fails to set a demarcation from the prior art composition. The rejection is restated as follows.

Instant claim 3 is directed to a dosage form comprising 5 mg to 250 mg of a member selected from oxybutynin and its pharmaceutically acceptable salt, wherein (i) said dosage provides a maximum plasma oxybutynin concentration of about 0.28 ng/ml to about 0.45 ng/ml

Art Unit: 3991

per mg of said member in said dosage form and (ii) wherein said member is delivered from said dosage form over a period of about 24 hours for treating incontinence in a patient.

*Rantala's* tablet (page 9, Table 4, Example 3) comprises 10 mg oxybutynin hydrochloride (2.9% of 348.3 mg tablet weight) and has a controlled and sustained, substantially zero order release profile as indicated by the substantially constant plasma concentration over a 24 hour period (Fig. 1). *Rantala's* tablet is for the relief of symptoms of bladder instability associated with voiding of the bladder (i.e. management of incontinence in a patient) (page 1, lines 17 to 26). The maximum plasma oxybutynin hydrochloride concentration ( $C_{max}$ ) has been determined to be 0.213 ng/ml, which is 'about 0.28 ng/ml per mg oxybutynin hydrochloride' as recited in instant claim 3. *Rantala's* Example 3 therefore meets all the requirements of instant *claim 3*.

*Rantala's* tablet formulation of Example 3 also meet the limitations of instant *claims 4* (which requires the salt in claim 3 be oxybutynin hydrochloride), *claims 5 and 8* (which require the dosage form of claims 4 and 3 respectively delivers at a substantially zero order rate of release) and *claims 9-10* (which require the dosage form of claims 3, 4 respectively to be a tablet).

Instant claims 13-14, 16, 18-20 are directed to a method for the management of incontinence in a patient, comprising administering to the patient a dosage form corresponding to the composition claims 3-5, 8-10.

Art Unit: 3991

Rantala's method (page 1, lines 17 to 26) for the relief of symptoms of bladder instability associated with voiding of the bladder (i.e. management of incontinence in a patient) with the tablet formulation of Example 3 therefore meets all the requirements of instant *claims 13-14, 16, 18-20*.

Rantala further teaches that the controlled release tablet formulation (such as Example 3) has diminished anticholinergic side effects of oxybutynin (page 12, lines 33-37) as required by instant *claim 23*.

#### *Response to Arguments*

24. *Patentee submits that the examiner fails to identify any evidence supporting its apparent conclusion that those skilled in the art would deem 0.213 ng/ml/mg to be 'about 0.28 ng/ml/mg' in spite of the fact that they differ by nearly 24%.*

A claim is to be given the broadest possible meaning consistent with the specification during the reexam proceeding. MPEP 2111. Accordingly, since a definition of 'about' and the statistical data on the variation of the maximum plasma concentration are not described in the specification, one of ordinary skill in the art would consider '0.213 ng/ml/mg' to be '*about* 0.28 ng/ml/mg'.

*Outstanding Claim Rejections - 35 USC § 103*

**25. The rejection for Claim 2 under 35 U.S.C. 103(a) as being unpatentable over Wong (US 5,082,668) and PDR (42<sup>nd</sup> edition, 1988, pages 1222-3, Immediate release Ditropan) and Robinson (Prescriber's Journal, 34(1):27-30, 1994) is maintained for reasons of record.**

Claim 2 has been amended to incorporate 'for treating incontinence in a patient' into the claim. The amendment does not overcome the rejection because the added intended use fails to set a demarcation from the prior art composition. In view of the amendment, the rejection is restated as follows.

Claim 2 is directed to a pharmaceutical dosage form comprising 240 mg to 650 mg of a member selected from oxybutynin and its pharmaceutically acceptable salt, that releases the member at a controlled and sustained, substantially zero order rate for about 24 hours for treating incontinence in a patient.

*Robinson* teaches that oxybutynin is an antispasmodic, anticholinergic and antimuscarinic drug for treatment of incontinence (page 27 first paragraph; page 28, second paragraph). A total daily dosage of 10-15 mg oxybutynin hydrochloride and the side effects thereof are also described (page 29, 3<sup>rd</sup> and 5<sup>th</sup> paragraphs). The side effects of the immediate-release Ditropan (oxybutynin hydrochloride) for treating incontinence are also described in *Immediate-release DITROPAN*, (Physician's Desk Reference, 42<sup>nd</sup> edition 1988, page 1222-23). To reduce the anticholinergic side effects of oxybutynin, Robinson suggested the use of a modified release formulation (page 28, paragraph 3).

Art Unit: 3991

Robinson does not specifically describe the formulation with controlled and sustained, substantially zero order release rate for about 24 hours as recited in the instant claim 2.

However, controlled sustained release system with constant pushing source has been known for use with a wide variety of drugs, including drugs that act on the cholinergic receptors, or smooth muscles (*Wong*, column 20, lines 1-2). The osmotic pump dosage form of *Wong* has a zero order release rate over a period of about 24 hours (Fig. 11). Since oxybutynin is an antispasmodic, anticholinergic and antimuscarinic drug (Robinson, page 27), it would be embraced by *Wong*'s controlled release formulation for drugs that act on the cholinergic receptors, or smooth muscles.

At the time of the invention, in view of the anticholinergic side effects of oxybutynin (Robinson, page 29, 3<sup>rd</sup> paragraph) and *Wong*'s teaching that the controlled release drug delivery system would avoid patient compliance problems, uses less drug, minimizes side effects and thereby provides efficiency in treatment (column 4, lines 22-24), one of ordinary skill in the art would be motivated to formulate the oxybutynin hydrochloride into a controlled release delivery system as suggested by Robinson and specifically taught by *Wong* to arrive at a once-a-day oxybutynin hydrochloride formulation for the treatment of incontinence.

#### *Response to Arguments*

26. *Patentee contends that there is no motivation to combine Robinson with Wong. Robinson's disclosure of reducing anticholinergic side effects of oxybutynin through use of a modified release formulation is inconsistent with Wong's teaching of a dosage form for drugs*

*intended to act upon cholinergic receptors. There is no reason for the skilled in the art to incorporate the drug with known side effects into a dosage form designed to achieve that side effect.*

Wong teaches that the controlled release drug delivery system would avoid patient compliance problems, minimize the amount of drug used and the side effects thereof (column 4, lines 22-24), which is consistent with Robinson's teaching that the anticholinergic side effects of oxybutynin can be reduced by the use of a modified controlled release formulation (page 28). The active drugs for Wong's formulation are drugs that act upon 'cholinergic receptors, ....smooth muscles' (column 19, line 67 to column 20, line 2), i.e. anticholinergic/antimuscarinic drugs, including oxybutynin (Robinson, page 27). As it is well recognized in the art that predictable anticholinergic side effects come with anticholinergic drugs (Robinson, pages 27-28), the incorporation of an anticholinergic drug in Wong's dosage form is not 'designed' to achieve the anticholinergic side effects as Patentee asserted.

27. *Patentee argues that the skilled in the art would not be motivated to prepare the inventive once-a-day formulation because it is contrary to the conventional wisdom that orally ingested, controlled-release products had to release drug in 8-12 hours, before the product reached the colon, because of poor colon absorption (Gupta et al. page 268).*

While colonic drug absorption is believed to be poor and variable and may be of particular importance to once-a-day controlled release drug delivery systems, it has also been shown that some drugs are absorbed from the colon (Gupta, page 268, second paragraph). Accordingly, the fact that poor colonic drug absorption occurs for some drugs would not lead

Art Unit: 3991

one away from the preparation of the 24-hour controlled release formulation for oxybutynin, especially in view of the many advantages associated with such a formulation (Robinson, page 28; Wong column 4, lines 22-24) and the availability of the method for preparing such a formulation (Wong, column 1, lines 19-38).

*Outstanding Claim Rejections - 35 USC § 103*

28. *The rejection for claim 2 under 35 U.S.C. 103(a) as being unpatentable over Morella (US 5,330,766) and Robinson (Prescriber's Journal, 34(1):27-30, 1994) is maintained for reasons of record.*

Claim 2 has been amended to incorporate 'for treating incontinence in a patient' into the claim. In view of the amendment, the rejection is restated as follows.

Claim 2 is directed to a pharmaceutical dosage form comprising 240 ng to 650 mg of a member selected from oxybutynin and its pharmaceutically acceptable salt, that releases the member at a controlled and sustained, substantially zero order rate for about 24 hours for treating incontinence in a patient.

*Robinson* teaches that oxybutynin is an antispasmodic, anticholinergic and antimuscarinic drug for treatment of incontinence (page 27 first paragraph; page 28, second paragraph). A total daily dosage of 10-15 mg oxybutynin hydrochloride and the side effects thereof are also described (page 29, 3<sup>rd</sup> and 5<sup>th</sup> paragraphs). To reduce the anticholinergic side effects of oxybutynin, Robinson suggested the use of a modified release formulation (page 28, paragraph 3).

Robinson does not specifically describe the formulation with controlled and sustained, substantially zero order release rate for about 24 hours as recited in the instant claim 2.

*Morella*, however, discloses a sustained release pharmaceutical composition and its preparation (column 23, claim 1) for a variety of drugs, including oxybutynin hydrochloride (column 5, line 32), which is known for treatment of incontinence. A substantially zero order rate release of the active drug over a 24 hour period is shown in Fig. 5 and described on column 16, Table 5.

Guided by the teachings of *Morella* and Robinson, one of ordinary skill in the art would be motivated to prepare the sustained release 10-15 mg oxybutynin hydrochloride formulation to reduce the anticholinergic side effects in the treatment of incontinence.

#### *Response to Arguments*

29. *Patentee argues that the skilled in the art would not be motivated to prepare the inventive once-a-day formulation because it is contrary to the conventional wisdom that orally ingested, controlled-release products had to release drug in 8-12 hours, before the product reached the colon, because of poor colon absorption (Gupta et al. page 268).*

While colonic drug absorption is believed to be poor and variable and may be of particular importance to once-a-day controlled release drug delivery systems, it has also been shown that some drugs are absorbed from the colon (Gupta, page 268, second paragraph).

Art Unit: 3991

Accordingly, the fact that poor colonic drug absorption occurs for some drugs would not lead one away from the preparation of the 24-hour controlled release formulation for oxybutynin, especially in view of the reduction of side effects associated with such a formulation (Robinson, page 28) and the availability of the method for preparing such a formulation (Morella, column 23, claim 1).

30. *Patentee submits that neither Morella nor Robinson discloses or suggests the claimed zero order release rate. Fig. 5 of Morella does not show a substantially zero order rate of release over 24 hours. Notably there are no data points between 600 minutes and 1440 minutes.*

The mere assertion that Morella's Fig. 5 does not show a substantially zero order release rate without any showing of evidence is insufficient to overcome the rejection. The arguments of counsel cannot take the place of evidence in the record. *In re Schulze* 346 F.2d 600, 602, 145 USPQ 716, 718 (CCPA 1965).

Contrary to Patentee's assertion that Morella does not discloses or suggests the zero order release rate, Morella specifically defines 'sustained release' of his sustained release composition as 'release of active ingredient at such a rate that blood levels are maintained within the therapeutic range but below toxic levels over an extended period of time, e.g. 10-24 hours or greater' (column 2, lines 39-44). Morella further states that 'desirably the sustained release provides a generally constant rate of release over an extended period of time' (column 1, lines 17-19), in other words, a substantially zero order release rate.

Art Unit: 3991

***Conclusion***

31. Claims 2-23 are rejected.

32. ***THIS ACTION IS MADE FINAL.***

A shortened statutory period for response to this action is set to expire 2 months from the mailing date of this action.

*Extensions of time under 37 CFR 1.136(a) do not apply in reexamination proceedings.*

The provisions of 37 CFR 1.136 apply only to "an applicant" and not to parties in a reexamination proceeding. Further, in 35 U.S.C. 305 and in 37 CFR 1.550(a), it is required that reexamination proceedings "will be conducted with special dispatch within the Office."

*Extensions of time in reexamination proceedings are provided for in 37 CFR 1.550(c).*

A request for extension of time must be filed on or before the day on which a response to this action is due, and it must be accompanied by the petition fee set forth in 37 CFR 1.17(g). The mere filing of a request will not effect any extension of time. An extension of time will be granted only for sufficient cause, and for a reasonable time specified.

The filing of a timely first response to this final rejection will be construed as including a request to extend the shortened statutory period for an additional month, which will be granted even if previous extensions have been granted. In no event, however, will the statutory period for response expire later than SIX MONTHS from the mailing date of the final action. See MPEP § 2265.

Art Unit: 3991

*Future Correspondence*

33. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Evelyn Huang whose telephone number is 571-272-0686. The examiner can normally be reached on Tuesday-Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Deborah Jones can be reached on 571-272-1535. The fax phone number for the organization where this application or proceeding is assigned is 571-273-9900.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

All correspondence relating to this ex parte reexamination proceeding should be directed:

By Mail to: Mail Stop ex parte Reexam  
Central Reexamination Unit  
Office of Patent Legal Administration  
United States Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

By FAX to: 571-273-9900  
Central Reexamination Unit

Art Unit: 3991

By Hand to: Customer Service Window  
Randolph Building  
401 Dulany St.  
Alexandria, VA 22314

*Evelyn Huang*  
Evelyn Huang  
Primary Examiner  
Art Unit 3991

Conferee *Matthew Green*

34. Based on the specific facts and circumstances of this proceeding, 37 CFR 1.530 is waived to the extent needed for entry of the 4/7/06 amendment, in order to further the statutorily requirement of 35 U.S.C. 305 that "All reexamination proceedings under this section...will be conducted with special dispatch within the Office."

*Kenneth M. Schor*  
Kenneth M. Schor  
Senior Legal Advisor  
Office of Patent Legal Administration